

COMPLIANCE REVIEWS

INFORMATION

At least once in your certification span, you will undergo a compliance review.

The reviewer will contact you in advance to schedule the review. It is important that you are responsive to the reviewer and comply with their requests for scheduling the review and provide them with any/all information that they request.

WHAT IS REQUIRED FOR A REVIEW?

You can find all of the information about compliance reviews, including the process and required documents here: <https://dodd.ohio.gov/compliance/ossas/annual-training-information>

GENERAL TIMELINE FOR A REVIEW

- 90 days prior to the review- you will receive notification that a review will occur
- 60 – 45 days prior – reviewer will contact you to set the review date
- Onsite Review- review occurs

AFTER THE REVIEW

Once the review is complete:

- If you have received no citations- you will receive a letter signifying that you have completed your review with no citations
- If you have received any citations- you will receive a compliance summary and a request for a Plan of Correction (POC)
 - Within 14 days of receiving the request, you must submit your POC or you can appeal the citation(s)
 - If the POC is approved- you will receive a POC approval letter and a completed compliance survey
 - If the POC is disapproved- you will receive correspondence from the reviewer asking for additional information and you will have to resubmit a POC
 - Within 90 days of POC approval- the reviewer will verify that the POC has been implemented